

Electronic Acknowledgement Receipt

EFS ID:	8910264
Application Number:	10767699
International Application Number:	
Confirmation Number:	5670
Title of Invention:	System and method for controlling the disclosure of a trading order
First Named Inventor/Applicant Name:	Thomas J. Daley
Customer Number:	63710
Filer:	Glen Farbanish/Laura Alto
Filer Authorized By:	Glen Farbanish
Attorney Docket Number:	04-6174 (069547.0174)
Receipt Date:	24-NOV-2010
Filing Date:	29-JAN-2004
Time Stamp:	14:10:46
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	no
------------------------	----

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Transmittal Letter	04-6174_101123_IDS.pdf	43618 c22550450c27431dbf6df3ab2f7451e604552805	no	5

Warnings:

Information:

2	Information Disclosure Statement (IDS) Filed (SB/08)	04-6174_101123_1449.pdf	24975 1cf158067140cce7162b1c056166dabac28b9cfd	no	1
Warnings:					
Information:					
This is not an USPTO supplied IDS fillable form					
3	Foreign Reference	JP_2003-524241.pdf	907889 95122af5a31de1d25f1a4b6ed43def6f89674cf5	no	32
Warnings:					
Information:					
4	Foreign Reference	JP_2002-259761.pdf	1069509 705ed2b5434f1e8ef5af206df45550a7eaa7ef13	no	13
Warnings:					
Information:					
5	NPL Documents	AU_2005208980_Not_of_Acceptance_09-23-2010.pdf	107295 fe02ea3042ab59fd68ad2be7a1832a3f488a3326	no	3
Warnings:					
Information:					
6	NPL Documents	JP_2008-525266_OA_09-21-2010.pdf	192083 6e62679d3a94184a4b26cb556c04aa40a7c71b	no	7
Warnings:					
Information:					
Total Files Size (in bytes):			2345369		
<p>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</p> <p><u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><u>National Stage of an International Application under 35 U.S.C. 371</u> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p> <p><u>New International Application Filed with the USPTO as a Receiving Office</u> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</p>					